

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA

DAVID E. MORRIS, JR.,)
)
Plaintiff,)
)
v.) Civil Action No. 19-178-E
)
COMMISSIONER OF SOCIAL SECURITY,)
)
Defendant.)

O R D E R

AND NOW, this 11th day of June, 2020, upon consideration of Defendant's Motion for Summary Judgment (Doc. No. 14) filed in the above-captioned matter on December 18, 2019, AND, further, upon consideration of Plaintiff's Motion for Summary Judgment (Doc. No. 11) filed on November 18, 2019, and in consideration of the various briefs and supplemental responses filed by the parties (Doc. Nos. 12, 15, 16, 19, 20, 22 & 23),

IT IS HEREBY ORDERED that Defendant's Motion for Summary Judgment is DENIED and that Plaintiff's Motion for Summary Judgment is GRANTED.

IT IS FURTHER ORDERED that the final decision of the Commissioner of Social Security ("Commissioner") denying Plaintiff's claim for benefits is VACATED, and the case is REMANDED to the Commissioner to be assigned to a different, constitutionally-appointed administrative law judge ("ALJ") for a new hearing and a new determination as to whether Plaintiff is disabled under the Social Security Act.

Administrative hearings in this case were conducted by ALJ Melissa Tenenbaum on August 14, 2017 and August 10, 2018, and ALJ Tenenbaum issued her decision denying Plaintiff's claim for benefits on August 17, 2018. Plaintiff then sought review before the Appeals Council. While such proceedings were taking place, on June 21, 2018, the United States Supreme Court issued its decision in Lucia v. S.E.C., 138 S. Ct. 2044 (2018), finding that an ALJ of the Securities and Exchange Commission assigned to hear enforcement actions is an "Officer of the United States," subject to the Appointments Clause of the United States Constitution. The Supreme Court further held that a party "who makes a timely challenge to the constitutional validity of the appointment of an officer who adjudicates his case' is entitled to relief." Id. at 2055 (quoting Ryder v. United States, 515 U.S. 177, 182-83 (1995)). The appropriate relief, given that the ALJ had not been properly appointed under the Appointments Clause, was remand for a new hearing before a different, constitutionally appointed ALJ. See id. While Lucia did not purport to address the constitutionality of the ALJs in other federal agencies, in response to the opinion, the President of the United States, on July 10, 2018, issued an executive order stating that "at least some – and perhaps all – ALJs are 'Officers of the United States' and thus subject to the Constitution's Appointments Clause." Exec. Order No. 13843, 83 Fed. Reg. 32755 (July 10, 2018). Shortly thereafter, the Acting Commissioner reappointed the ALJs of the Social Security Administration ("SSA") under her own authority. See S.S.R. 19-1p, 2019 WL 1324866 (S.S.A.), at *2.

Accordingly, for a period of time while this case was pending before ALJ Tenenbaum, including at the time of the first hearing in this case, she was not properly appointed under the Appointments Clause. Plaintiff did not raise this issue at the administrative level, but instead filed

his appeal with this Court. On January 23, 2020, while this case was pending, the Third Circuit Court of Appeals issued its decision in Cirko v. Comm'r of Soc. Sec., 948 F.3d 148 (3d Cir. 2020), holding that social security claimants “may raise Appointments Clause challenges in federal court without having exhausted those claims before the agency.” Id. at 153. It further held that since, by the SSA’s own admission, its ALJs had not been properly appointed at the time of the claimant’s administrative proceedings, the claimant was entitled to a new hearing before a different ALJ. See id. at 158-60.

Plaintiff’s summary judgment motion and brief (Doc. Nos. 11 & 12), which were filed in advance of the Third Circuit’s decision in Cirko, nevertheless asserted a challenge to the appointment of ALJ Tenenbaum under the Appointments Clause, citing the Supreme Court’s opinion in Lucia and noting the recent holding of the District Court for the Middle District of Pennsylvania in Bizarre v. Berryhill, 364 F. Supp. 3d 418 (M.D. Pa. 2019). Defendant, after filing its summary judgment motion, filed a motion to stay the case pending the outcome of the consolidated appeals of Cirko and Bizarre before the Third Circuit. The Court ordered that Defendant’s motion to stay would be held in abeyance pending notice from Defendant as to the status of appellate proceedings in that case. After the Third Circuit issued its opinion in Cirko, Defendant filed a status update which included a request that the Court continue the stay in the case pending the conclusion of appellate proceedings.¹ Defendant recently filed another status update informing the Court that it will not seek

¹ Defendant mistakenly asserted in its status updates that the case is currently stayed and asked the Court to continue the stay. (Doc. Nos. 22 & 23). The Court notes, however, that Defendant’s motion to stay was held in abeyance, and that the case was never stayed.

Supreme Court review of the Third Circuit's Cirko decision, and that it does not contend that Cirko does not apply to this case.

Although ALJ Tenenbaum was constitutionally appointed partway through the time that proceedings in Plaintiff's case were taking place before her, the parties do not dispute – and the Court agrees – that she was not constitutionally appointed for the entirety of the relevant time period. Moreover, the parties do not dispute that Cirko applies to this case. Pursuant to Cirko, then, Plaintiff is entitled to a new hearing before an ALJ other than ALJ Tenenbaum and a new disability determination regardless of whether he raised the issue at the administrative level.

Accordingly, this case will be remanded to the Commissioner for a new hearing and determination by a properly appointed ALJ other than ALJ Tenenbaum. Because the Court is remanding this case on this ground, it does not reach the other issues raised by Plaintiff. To the extent error is alleged, it should be addressed on remand. See Hoover v. Saul, Civ. No. 19-579, 2020 WL 1550663, at *2 (M.D. Pa. Apr. 1, 2020) (citing Holst v. Saul, Civ. No. 18-2182, 2019 WL 5457920, at *9 (M.D. Pa. Sept. 10, 2020)).

s/Alan N. Bloch
United States District Judge

ecf: Counsel of record